

11-28-01

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COMMISSIONER OF PATENTS & TRADEMARKS
WASHINGTON, D.C. 20231

ATTORNEY DOCKET NO.102296-100

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CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date 26 NOV 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EL662088940US to the Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

Todd E. Garabedian
Name of Person Mailing Paper

Todd E. Garabedian
Signature of Person Mailing Paper

1036 U.S. PTO

09/994292

11/26/01

APPLICATION TRANSMITTAL UNDER 37 C.F.R. § 1.53

Transmitted herewith for filing under 37 C.F.R. § 1.53 is the patent application of:

Inventor(s): CLAES OHLSSON
JAN-AKE GUSTAFSSON
MARGARET WARNER
BO ANGELIN

for:

ESTROGEN RECEPTORS

1. Type of Application

☒ Original ☐ Continuation ☐ Divisional
☐ Continuation-In-Part

2. Benefit of Prior Foreign Application(s) (35 U.S.C. § 119)

☒ The new application being transmitted claims the benefit of
☒ prior foreign applications Serial Nos. GB 0100302.9,
GB 0100298.9, GB 0100299.7, GB 0100301.1, and GB 0105525.0, filed on
January 5, 2001, January 5, 2001, January 5, 2001, January 5, 2001,
and March 6, 2001, respectively, in Great Britain(country); or
☐ PCT international application designating at least one country
other than the U.S. application Serial no. _____, filed on
_____.

A certified copy is ☐ enclosed or ☐ on file in the prior application.

3. Benefit of Prior U.S. Application(s) (35 U.S.C. §§ 119(e) and 120)

☒ The new application being transmitted claims the benefit of prior copending U.S. application(s):
Serial Nos. U.S. 60/275,023, U.S. 60/274,996, U.S. 60/275,047 and
U.S. 60/274,995, all filed on March 12, 2001;

4. Papers Enclosed Which Are Required for Filing Under 37 C.F.R. § 1.53(b)

[27]	page(s) of Specification
[3]	page(s) of Claims, having <u>21</u> claims, including <u>7</u> independent and <u>14</u> dependent claims
[1]	page(s) of Abstract
[6]	sheet(s) of [] formal [X] informal Drawings

5. Additional Papers Enclosed

- [] Preliminary Amendment
[] Information Disclosure Statement
[] Form PTO-1449
[] Copies of Cited Art
[] Other _____

Declaration

6. An unsigned declaration is [X] enclosed [] not enclosed.

7. Assignment

- [] Enclosed is a recordation form and an assignment of the invention to
KARO BIO AB.

8. Small Entity Status

[X] Applicant claims small entity status.

9. Fee Calculation (37 C.F.R. § 1.16)

CLAIMS AS FILED					
Number Filed		Number Extra		Rate	Basic Fee \$ 740.00
Total Claims	21	-20	-1-	\$18.00	\$ 18.00
Independent claims	7	-3	-4-	\$84.00	\$ 336.00
Multiple Dependent claims, if any:				\$280.00	-0-
Total					\$1,094.00
Reduction for small entity by 50%					- \$ 547.00
TOTAL FILING FEE					\$ 547.00

10. Method of Payment of Fees

[] Check in the amount of \$

[x] Charge Account No. 23-1665 in the amount of \$547.00.

11. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees which may be required under 37 C.F.R. §§ 1.16 and 1.17, or credit any overpayment to Account No. 23-1665.

12. Correspondence

Please address all correspondence to:

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Date: 26 NOV 2001